

A MAXIMAL NILPOTENT LINEAR SPACE CONTAINING NO MATRIX OF MAXIMAL NILINDEX

DANIEL VITAS

ABSTRACT. Gutierrez Fernandez and Garcia conjectured that every maximal nilpotent linear subspace of $M_n(F)$ contains a matrix of nilindex n , and verified this for $n \leq 4$. We prove that the conjecture does not hold for $n = 5$ over every field of characteristic zero.

Let F be a field and n a positive integer. Denote by $M_n(F)$ the space of $n \times n$ matrices with entries in F . A linear subspace $\mathcal{V} \subseteq M_n(F)$ is called *nilpotent* if it consists of nilpotent matrices; a nilpotent linear subspace is *maximal* if it is not properly contained in another nilpotent linear subspace. The *nilindex* of a nilpotent matrix A is the least m with $A^m = 0$. The following conjecture is due to Gutierrez Fernandez and Garcia [1, Conjecture 1.1]; it is stated equivalently, in terms of rank, in [2, Conjecture 1].

Conjecture 1. *Every maximal nilpotent linear subspace of $M_n(F)$ contains a matrix of nilindex n .*

The conjecture was verified in [1] for $n \leq 4$. We show that it fails for $n = 5$.

Theorem 2. *Let F be a field of characteristic zero, and let $\mathcal{V}$ be the space of matrices of the form*

$$M(x, y, z, w) = \begin{pmatrix} 0 & 0 & 0 & z & 0 \\ 0 & 0 & x & -w & 0 \\ 0 & 0 & 0 & x + w & 0 \\ w & y & y - z & 0 & x \\ 0 & 0 & -y & z - y & 0 \end{pmatrix}$$

for $x, y, z, w \in F$. Then $\mathcal{V}$ is a maximal nilpotent linear subspace of $M_5(F)$, and $A^4 = 0$ for every $A \in \mathcal{V}$.

Proof. A simple calculation shows that

$$M(x, y, z, w)^4 = 0,$$

which proves that every element of $\mathcal{V}$ has nilindex at most 4; in particular, $\mathcal{V}$ consists of nilpotent matrices.

To show maximality, let $B = (b_{ij})$ be such that $\mathcal{V} + FB$ consists of nilpotent matrices; we will show that $B \in \mathcal{V}$. Since $\text{char } F = 0$, by [3, Corollary 1] we have

$$\text{tr}(M(x, y, z, w)^j B) = 0 \quad \text{for } j = 0, 1, 2, 3.$$

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Collecting the coefficient of each monomial gives, for $j = 0, 1, 2$ and for four of the monomials with $j = 3$, the 19 equations

$$\begin{aligned}
& j = 0 \\
& 1 : \quad b_{11} + b_{22} + b_{33} + b_{44} + b_{55} = 0 \\
& j = 1 \\
& x : \quad b_{32} + b_{43} + b_{54} = 0 \qquad y : \quad b_{24} + b_{34} - b_{35} - b_{45} = 0 \\
& z : \quad b_{41} + b_{45} - b_{34} = 0 \qquad w : \quad b_{14} - b_{42} + b_{43} = 0 \\
& j = 2 \\
& x^2 : \quad b_{42} + b_{53} = 0 \qquad xy : \quad b_{23} + b_{33} - b_{45} - b_{55} = 0 \\
& xz : \quad b_{51} + b_{55} - b_{33} = 0 \qquad xw : \quad b_{13} + b_{42} - b_{52} + b_{53} = 0 \\
& y^2 : \quad b_{25} + b_{35} = 0 \qquad yz : \quad b_{21} + b_{25} + b_{31} + 2b_{35} = 0 \\
& z^2 : \quad b_{31} + b_{35} = 0 \qquad zw : \quad b_{11} + b_{15} + b_{32} - b_{33} = 0 \\
& w^2 : \quad b_{12} - b_{13} = 0 \qquad yw : \quad b_{15} + b_{22} - b_{23} + b_{32} - b_{33} + b_{45} = 0 \\
& j = 3 \\
& x^3 : \quad b_{52} = 0 \qquad x^2y : \quad b_{22} + b_{32} - b_{55} = 0 \\
& x^2z : \quad b_{32} = 0 \qquad xyz : \quad b_{35} = 0.
\end{aligned}$$

Solving this system of linear equations shows that

$$B = \begin{pmatrix} b_{51} + b_{55} & 0 & 0 & b_{54} - b_{53} & 0 \\ 0 & b_{55} & b_{45} - b_{51} & -b_{41} & 0 \\ 0 & 0 & b_{51} + b_{55} & b_{41} + b_{45} & 0 \\ b_{41} & -b_{53} & -b_{54} & -2b_{51} - 4b_{55} & b_{45} \\ b_{51} & 0 & b_{53} & b_{54} & b_{55} \end{pmatrix},$$

where $b_{41}, b_{45}, b_{51}, b_{53}, b_{54}, b_{55}$ are the corresponding entries of B . Since B is itself nilpotent, we have $\text{tr}(B^2) = \text{tr}(B^3) = 0$; for the matrix above,

$$\text{tr}(B^2) = 2(3b_{51}^2 + 10b_{51}b_{55} + 10b_{55}^2), \quad \text{tr}(B^3) = -6(b_{51} + 2b_{55})(b_{51}^2 + 5b_{51}b_{55} + 5b_{55}^2).$$

It is easy to see that the only solution is $b_{51} = b_{55} = 0$, and therefore

$$B = \begin{pmatrix} 0 & 0 & 0 & b_{54} - b_{53} & 0 \\ 0 & 0 & b_{45} & -b_{41} & 0 \\ 0 & 0 & 0 & b_{41} + b_{45} & 0 \\ b_{41} & -b_{53} & -b_{54} & 0 & b_{45} \\ 0 & 0 & b_{53} & b_{54} & 0 \end{pmatrix} = M(b_{45}, -b_{53}, b_{54} - b_{53}, b_{41}) \in \mathcal{V}. \quad \square$$

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